

Wave-absorbing vehicular platoon controller

Dan Martinec*, Ivo Herman, Zdeněk Hurák, and Michael Šebek¹

Abstract

The paper tailors the so-called wave-based control, popular in the field of flexible mechanical structures, to the field of distributed control of vehicular platoons. The proposed solution augments the symmetric bidirectional control algorithm with a wave-absorbing controller implemented on the leader, and/or on the rear-end vehicle. The wave-absorbing controller actively absorbs an incoming wave of positional changes in the platoon and thus prevents oscillations of inter-vehicle distances. The proposed controller significantly improves the performance of platoon manoeuvres such as acceleration/deceleration or changing the distances between vehicles without making the platoon string unstable. Numerical simulations show that the wave-absorbing controller performs efficiently even for platoons with a large number of vehicles, for which other platooning algorithms are inefficient or require wireless communication between vehicles.

Keywords: platoon of vehicles, bidirectional control, wave transfer function, wave-based control, wave absorption.

1. INTRODUCTION

1.1. Vehicular platooning

The field of *vehicular platooning* was active as early as the 1960's and remains so today. The task is to safely and effectively control several vehicles driving behind each other, for example on a highway lane. It is motivated by higher throughput, lower fuel consumption, increase of traffic safety, etc.

Regarding control strategies; among the first treatments of vehicular platooning were papers by [22] and [26]. They examined a centralized control approach with a single global controller governing all vehicles. However, [19] later showed that one has to be careful about the stability of the system, since it might degrade with an increasing number of vehicles. Nevertheless, more attention is paid to fully or partially distributed control, wherein each vehicle is controlled by its own on-board controller with only limited knowledge about the platoon. Among the first papers dealing with such distributed control was the work by [6]. Basic questions about the feasibility and performance of such systems was introduced by [8] and later formalized by [35] under the term *string stability*. String stability, or more precisely string instability, is a phenomenon that causes higher control demands on the members of a vehicular platoon that are further from the source of regulation error. Although string stability does not guarantee that the vehicles do not crash into each other, it is a useful analysis tool. Propagation of a regulation error or a disturbance through a platoon of vehicles controlled by various distributed control strategies was examined in several papers, see for instance [33], [3] and [34]. A fundamental limitation of many distributed algorithms with

only local information about the platoon is the inability to maintain coherence in a large-scale platoon subjected to stochastic disturbances [2]. However, the coherence can be improved by introducing optimal non-symmetric localized feedback [23].

A common goal of each platooning algorithm is to drive the platoon with a reference velocity and inter-vehicle distances. Many distributed algorithms have been introduced in the platooning field. The most simple algorithm, relying only on the measurement of the distance to the immediately preceding vehicle, is the so-called *predecessor following algorithm*. A straightforward extension is the so-called *bidirectional control algorithm*, which additionally measures the distance to the immediate follower. Depending on the weight between these two distance measurements, we distinguish either *symmetric* or *asymmetric* bidirectional control. Although, the asymmetric version improves the stability in terms of the least stable closed-loop eigenvalue as proved by [4], we allow our in-platoon vehicles to be controlled by the symmetric version, analysed for instance in [21], [27] and [15]. For simplicity, we consider only a symmetric bidirectional controller and the wave (described in the next section) reflects on the platoon ends only. In a more general case, when the asymmetric bidirectional controller is considered, the wave also partially reflects on each in-platoon vehicle.

1.2. Wave-based control concept

The origins of control based on travelling waves lies in the 1960's in the mathematical modeling and analysis of flexible structures. [36] was one of the first treatments analysing simpler instances of flexible structures such as beams and plates. Analysis and control of a more complex flexible structures from the viewpoint of travelling wave-modes was investigated in a series of papers by von Flotow and his colleagues in [11] and [12].

*Corresponding author.

¹E-mail addresses: martinec.dan@fel.cvut.cz (D. Martinec), ivo.herman@fel.cvut.cz (I. Herman), hurak@fel.cvut.cz (Z. Hurák), sebekm1@fel.cvut.cz (M. Šebek).

Recently, the concept was revisited by O'Connor in [29] and [30] for vibrationless positioning of lumped multi-link flexible mechanical systems. It was named *wave-based control* and it is based on the so-called *wave transfer function*, which describes how the traveling wave propagates in the lumped system. Simultaneously with O'Connor, the wave concept was also considered for the control of continuous flexible structures by [14] under the name *absolute vibration suppression*. It relies on the transfer function as well, though in this case, the time delay plays a key role. Surprisingly, it was shown by [32], that both wave-based control and absolute vibration suppression are just a feedback version of the input shaping control. It was also shown that the wave-based control can be generalized even for continuous flexible systems, e.g., a steel rod, and then it coincides with the absolute vibration suppression.

The key idea of the wave-based control is to generate a wave at the actuated front end of the interconnected system and let it propagate to the opposite end of the system, where it reflects and returns back to the front-end actuator. When it reaches the front again, it is absorbed by the front-end actuator by means of the wave transfer function. A both interesting and troublesome property of the wave transfer function is the presence of the square root function. This makes its implementation in the time domain very challenging. To be able to run numerical simulations, we therefore introduce a convergent recursive algorithm that approximates the wave transfer function for an arbitrary dynamics of the local system.

There are other viewpoints on wave-based control. One was introduced by [31] in terms of the characteristic impedance for a mass-spring system. Another possible viewpoint was introduced by [28] for the wave control of ladder electric networks. The impedance matching in power networks is also closely related to the wave-based control, e.g., [20].

1.3. Objective of the paper

In this paper, a finite one-dimensional platoon of vehicles moving in a highway lane is considered. Each individual vehicle in the platoon is locally controlled by a bidirectional controller, which plays the role of string-damper connection in mechanical structures and hence enables a wave to propagate back and forth. One or both of the platoon ends are controlled by the *wave-absorbing controller* allowing active absorption of the traveling wave. The similarity of bidirectional control with the continuous wave equation was described in [16].

The key objective of the paper is to generalize the principle of the wave-based control used in the field of mechanics for vehicular platooning control in such a way that the distances between vehicles are additionally considered. In this regard, the presented concept offers a symmetric version of bidirectional control enhanced by the feedback control of one or both platoon ends. Thus, it significantly decreases long transient oscillations during platoon manoeuvres such as acceleration/deceleration or changing the distances between vehicles. In addition, the paper contributes the following: a) It generalizes the wave transfer function description for the arbitrary dynamics of the local system, b) it offers a convergent recursive algorithm that approximates the wave transfer function, c) it presents an alternative

way of deriving the wave transfer function using a continued fraction approach, and d) it provides a mathematical derivation of the transfer functions describing reflections on the platoon ends.

The paper is structured as follows. Section 2 gives a mathematical model of the vehicle. Section 3 describes the wave transfer function as a requisite tool for the wave description. A mathematical description of wave reflections on forced and free ends is given in Section 4. Section 5 introduces the wave-absorbing controller as an add-on for the bidirectional control. The new controllers are analyzed by numerical simulations in section 6. The necessary mathematical derivations are given in the four appendices.

2. LOCAL CONTROL OF THE PLATOON VEHICLES

A vehicle in a platoon indexed by n is modelled in the Laplace domain as

$$X_n(s) = P(s)U_n(s), \quad (1)$$

where s is the Laplace variable, $X_n(s)$ is a position of the n th vehicle in the Laplace domain, $P(s)$ represents the transfer function of the system dynamics and $U_n(s)$ is the system input which is generated by the local controller of the vehicle specified in the following.

Except for the leader, indexed $n = 0$, and the rear-end vehicle, each vehicle in the platoon is equipped with a symmetric bidirectional controller $C(s)$ with the task of equalizing the distances to its immediate predecessor and successor, giving

$$U_n(s) = C(s)(D_{n-1}(s) - D_n(s)), \quad (2)$$

where $D_n(s)$ is the distance between vehicles indexed by n and $n + 1$, hence $D_n(s) = X_n(s) - X_{n+1}(s)$ and $D_{n+1}(s) = X_{n+1}(s) - X_{n+2}(s)$. Substituting (2) into (1) yields the resulting model of the in-platoon vehicle with the bidirectional control for the inter-vehicle distances,

$$X_n(s) = P(s)C(s)(X_{n-1}(s) - 2X_n(s) + X_{n+1}(s)). \quad (3)$$

Using the notation,

$$\alpha(s) = \frac{1}{P(s)C(s)} + 2, \quad (4)$$

equation (3) is thus rewritten as

$$X_n(s) = \frac{1}{\alpha(s)}(X_{n-1}(s) + X_{n+1}(s)). \quad (5)$$

The vehicle at the rear end of the platoon is driven by the predecessor following algorithm and is supposed to equalize the distance to its immediate predecessor and reference distance D_{ref} ,

$$X_N(s) = \frac{1}{\alpha(s) - 1}(X_{N-1}(s) - D_{\text{ref}}(s)), \quad (6)$$

where $X_N(s)$ is the position of the last vehicle in the platoon.

To carry out numerical simulations, we will use the model that is often used in such theoretical studies. The vehicle is described by a double integrator model with a simple (linear) model of friction, ξ , and controlled by a PI controller. Hence, $P(s) = 1/(s^2 + \xi s)$ and $C(s) = (k_p s + k_i)/(s)$, where k_p and k_i are proportional and integral gains of the PI controller, respectively. Such a model was also used in the experimental studies presented in [25].

3. WAVE TRANSFER FUNCTION

The bidirectional property of locally controlled systems causes any change in the movement of the leading vehicle to propagate through the platoon as a *wave* up to the last vehicle. To describe this wave, we need to find out how the position of a vehicle is influenced by the position of its immediate neighbours. For a moment, let us assume that the length of the platoon is infinite, so that there is no platoon end from where the wave can reflect. A generalization for a platoon with one platoon end, i.e., a semi-infinite platoon, is done in the next section.

3.1. Mathematical model of the wave transfer function

Following the standard arguments for the *wave equation* as found, for instance, in [1], the solution to the wave equation can be decomposed into two components: $A_n(s)$ and $B_n(s)$ (also called *wave variables* in the literature), which represent two waves propagating along a platoon in the forward and backward directions, respectively.

To find a transfer function describing the wave propagation, we are searching for two linearly independent recurrence relations that satisfy (5). We first recursively apply (5) and (6) with $D_{\text{ref}}(s) = 0$, for a platoon with an increasing number of vehicles. The transfer function for a platoon with two vehicles is $A_1/A_0 = (\alpha - 1)^{-1}$, for a platoon with three vehicles is $A_1/A_0 = (\alpha - (\alpha - 1)^{-1})^{-1}$, for a platoon with four vehicles is $A_1/A_0 = (\alpha - (\alpha - (\alpha - 1)^{-1})^{-1})^{-1}$ and so on. Continuing recursively, A_1/A_0 is expressed by the continued fraction

$$\frac{A_1}{A_0} = \frac{1}{\alpha - \frac{1}{\alpha - \frac{1}{\alpha - \frac{1}{\ddots}}}}. \quad (7)$$

The continued-fraction expansion of a square root is given by [18]

$$\sqrt{z^2 + y} = z + \frac{y}{2z + \frac{y}{2z + \frac{y}{2z + \frac{y}{\ddots}}}}. \quad (8)$$

Letting the number of vehicles approach infinity, the right-hand sides of (7) and (8) are equal, provided that $y = -1$ and $z = \alpha/2$.

Hence,

$$\frac{A_1}{A_0} = \frac{\alpha}{2} - \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (9)$$

Likewise, the transfer function A_2/A_1 can be derived from (5) and (6) for $n = 2$ as

$$\alpha A_1 = A_0 + A_2. \quad (10)$$

Substituting for A_0 from the previous recursive step (9) gives

$$\alpha A_1 = A_1 \left(\frac{\alpha}{2} + \frac{1}{2} \sqrt{\alpha^2 - 4} \right) + A_2, \quad (11)$$

which provides

$$\frac{A_2}{A_1} = \frac{\alpha}{2} - \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (12)$$

Continuing recursively, we can find that the transfer function A_{n+1}/A_n is again equal to (9) or (12). We can conclude that the transfer function from the n th to $(n + 1)$ th vehicle is the same for each vehicle, and is equal to

$$G_1(s) = \frac{\alpha}{2} - \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (13)$$

Analogously, the second linearly independent recurrence relation of (5) and (6) is searched for by their recursive application with a decreasing index of vehicles. After similar algebraic manipulations as for A_n , we find

$$\frac{B_n}{B_{n-1}} = \alpha - \frac{1}{\alpha - \frac{1}{\alpha - \frac{1}{\alpha - \frac{1}{\ddots}}}}. \quad (14)$$

Letting the number of vehicles approach infinity, the right-hand sides of (14) and (8) are equal provided that $y = -1$ and $z = \alpha/2$. Hence,

$$\frac{B_n}{B_{n-1}} = \frac{\alpha}{2} + \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (15)$$

The transfer function from n th to $(n - 1)$ th vehicle is again the same for each vehicle, and is equal to

$$G_2(s) = \frac{\alpha}{2} + \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (16)$$

The resulting model of the vehicular platoon with an infinite number of vehicles is therefore described as follows:

$$X_n = A_n + B_n, \quad (17)$$

$$A_{n+1} = G_1 A_n, \quad (18)$$

$$B_n = G_2 B_{n-1}, \quad (19)$$

$$G_1 = G_2^{-1}, \quad (20)$$

where (20) follows from the multiplication of (13) and (16). Equations (18)-(19) express the *rheological property* of the platoon, that is, they define the form of how these two components propagate through the platoon. Equation (20) expresses

the *principle of reciprocity*, that is, if $A(s)$ propagates with the help of $G_1(s)$ to higher indexes of vehicles, then $B(s)$ propagates with the help of $G_1(s)$ to lower indexes of vehicles. The function $G_1(s)$ is hereafter referred to as the wave transfer function.

It should be noted that if there is a boundary in the system, e.g., if the length of the platoon is finite, where the rheology property for wave propagation changes abruptly, the principles must be supplemented by boundary conditions. We discuss this case in the following section.

3.2. Verification of the wave transfer function

We now outline an alternative way to derive the wave transfer function. Let the model of the vehicular platoon (17)-(20) hold and now search for the transfer functions $G_1(s)$ and $G_2(s)$ that satisfy these four equations. Substituting (17) into (5) yields

$$\alpha(A_n + B_n) = A_{n-1} + B_{n-1} + A_{n+1} + B_{n+1}, \quad (21)$$

which, in view of (18) and (19), is

$$\alpha(s) = G_1(s) + G_2(s). \quad (22)$$

We can substitute either for $G_1(s)$ or $G_2(s)$ from (20). Either possibility leads to the same quadratic equation ($m = 1, 2$),

$$G_m^2(s) - \alpha(s)G_m(s) + 1 = 0, \quad (23)$$

with two linearly independent solutions,

$$G_m(s) = \frac{\alpha}{2} \mp \frac{1}{2} \sqrt{\alpha^2 - 4}. \quad (24)$$

Let $G_1(s)$ be chosen as the solution with the negative sign in front of the square root. Then (20) only allows $G_2(s)$ to be the solution with the positive sign in front of the square root. Hence, $G_1(s)$ and $G_2(s)$ are identical to those derived in the previous section. The quadratic equation (23) can be employed as a starting model for the positioning of multi-link flexible mechanical systems [29].

3.3. Approximation of the wave transfer function

It will be shown later in the paper that to be able to implement the wave-absorbing controller discussed at the beginning of the paper, we need to find the impulse response of the wave transfer function, i.e., the inverse Laplace transform of $G_1(s)$. Due to the presence of the square root in the function, it is very challenging to find an exact impulse response of $G_1(s)$. However, we can approximate the impulse response with a finite impulse response (FIR) filter. Therefore, we first approximate the wave transfer function in the Laplace domain, then transform this approximate form to the time domain and finally truncate and sample the approximate impulse response to obtain FIR filter coefficients.

The square root function in (24) can be approximated by various ways, e.g., Newton's method, the binomial theorem, or continued fraction expansion (7). We employ the last option since it guarantees the convergence of iterative approximations

and is applicable to an arbitrary dynamics of the local system with a generalized parameter $\alpha(s)$ as in (4). The recursive formula (7) immediately provides the iterative approximation of $G_1(s)$,

$$G_1^l(s) = \frac{1}{\alpha(s) - G_1^{l-1}(s)}, \quad (25)$$

where $l = 1, 2, \dots$, and the initial value $G_1^0(s) = 1$. The approximate $G_1^l(s)$ can be transformed to the time domain by Matlab or Mathematica. Our experience with the inverse Laplace solvers for the Fractional Calculus *invlap* [9], *weeks* [37] and *nilt* [5] in Matlab is that, while they were not capable of performing the inverse Laplace transform of (24) due to the square root function, they carried out the inverse Laplace transform of $G_1^l(s)$ without complications since (25) is a rational function.

The approximate $G_1^l(s)$ can be interpreted as follows. Equation (25) represents the transfer function from the position of the leader to the position of the first follower in a platoon of l vehicles. Increasing the number of iterations (25) means that the length of a platoon grows and the effect of the rear-end vehicle on $G_1(s)$ weakens. The approximation of $G_1(s)$ therefore successively improves. Figs. 1 and 2 show the Bode characteristics $G_1^l(s)$ and the associated impulse responses for various numbers of iterations, respectively. Increasing the numbers of iterations makes the peak in the Bode characteristic sharper, more localized and moves it towards lower frequencies, eventually disappearing entirely. The basic characteristic of the impulse response is fitted after a few iterations while small differences occur at longer times. To obtain the FIR filter coefficients, we truncate the approximate impulse response at a few seconds and sample it with an appropriate frequency. In our numerical simulations it was sufficient to stop the iterative procedure after 20 iterations, to truncate the impulse response at 15 seconds and sample it at a frequency of 100 Hz.

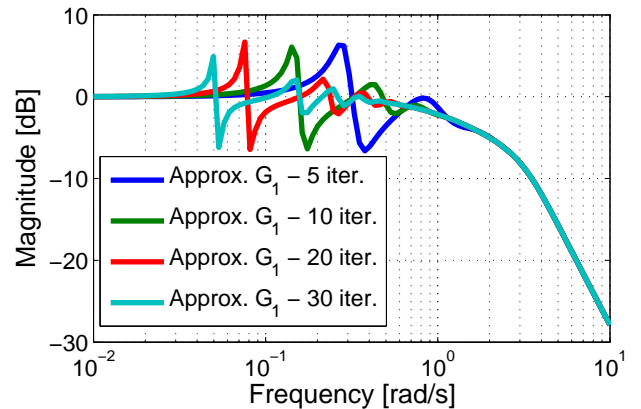


Figure 1: The Bode characteristics of $G_1(s)$ approximations after several iterations by (25) for $k_p = k_i = \xi = 4$.

4. REFLECTION OF THE WAVE ON PLATOON ENDS

To be able to design a wave-absorbing controller for the platoon end, we first need to mathematically describe the wave

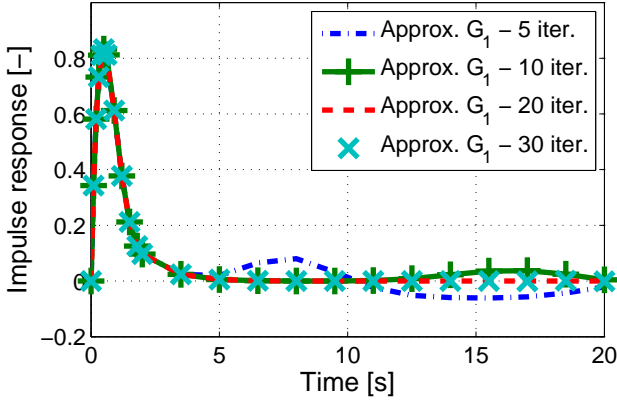


Figure 2: The impulse responses of $G_1(s)$ after several iterations by (25) for $k_p = k_i = \xi = 4$.

reflection. In the previous section an infinite platoon is considered, whereas here, we assume a semi-infinite platoon having one end that is either externally controlled (forced end) or allowed to move freely (free end). When a wave propagates along a platoon and reaches its free end, it is reflected with the same polarity, i.e., the same sign of amplitude, but with the opposite polarity at the fixed/forced end. This phenomenon, known from basic wave physics [13], is discussed in the following in terms of the wave transfer function. The necessary mathematical derivations are given in Appendix A and B.

4.1. The forced-end boundary

We call the forced-end boundary such a vehicle that is externally controlled and is not linked with the other vehicles. However, the neighbouring vehicle is one-directionally linked with this forced boundary. The platoon leader therefore represents the forced-end boundary. The reflection on the forced-end

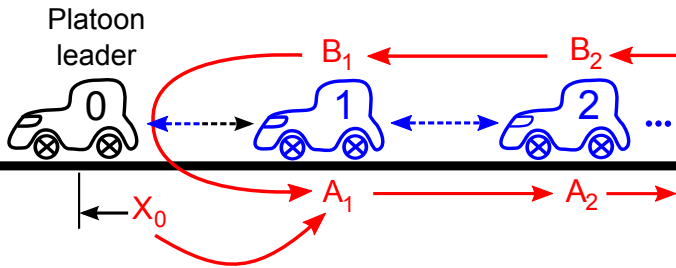


Figure 3: Scheme of wave reflection on the leader, i.e., reflection on the forced-end boundary, described by (26).

boundary is sketched in Fig. 3. Changing the position of the forced end, X_0 , generates the outgoing wave as a first contribution to A_1 . Moreover, the incoming wave (B_1) is reflected on the forced end and transformed to the outgoing wave as the second contribution to A_1 . The force-end reflection is derived in Appendix A and summarized by (A.8),

$$A_1 = G_1 X_0 - G_1^2 B_1. \quad (26)$$

This first shows that changing the position of the forced end is translated to A_1 through G_1 . Second, since the DC gain of G_1 is equal to plus one (see Fig. 1), the minus sign in front of G_1^2 causes the wave to be reflected with the opposite sign.

4.2. The free-end boundary

A free-end boundary is a boundary where a vehicle is two-directionally linked with one neighbour only and, additionally, it is aware of the reference distance. The rear-end vehicle described by (6) represents the free-end boundary.

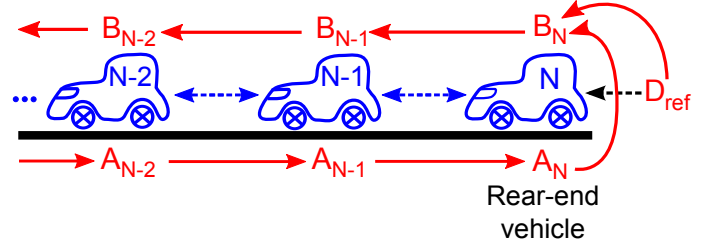


Figure 4: Scheme of wave reflection on the rear-end vehicle, i.e., reflection on the free-end boundary, described by (27).

The reflection on the free-end boundary is outlined in Fig. 4. The wave travelling from the free-end boundary (B_N) is composed of two parts, the incoming wave (A_N) which is reflected back through G_1 and the component due to adjusting the reference distance D_{ref} . The free-end reflection is derived in Appendix B and summarized by (B.5),

$$B_N = G_1 A_N + \frac{G_1 - 1}{\alpha - 2} D_{ref}. \quad (27)$$

The reflection from the free-end boundary does not change the sign that is expressed by the plus sign in front of $G_1 A_N$. Moreover, the signal reflected from the free-end is delayed as a linear function of $G_1(s)$, while as a quadratic function when it is reflected from the forced-end boundary, as shown by (26).

It should be noted that the verification of the above wave-based model was done in [30]. The transfer function

$$\frac{X_N}{X_0} = G_1^N \frac{1 + G_1}{1 + G_1^{2N+1}}, \quad (28)$$

where N is the index of the last vehicle, was shown to be identical to the transfer function derived by the state space description. This result is valid not only for a double integrator with P controller, but also for an arbitrary dynamics of the local system.

5. WAVE-ABSORBING CONTROLLER

The three main control requirements are: i) to have travel the platoon travel at the reference velocity v_{ref} , ii) to keep inter-vehicle distances d_{ref} and iii) to actively absorb the wave travelling towards the platoon's end.

This section introduces three possible configurations of the platoon with the wave-absorbing controller. First, we will describe the configuration where the wave-absorbing controller is implemented at the platoon leader.

5.1. Front-sided wave-absorbing controller

5.1.1. Absorption of the wave

To absorb the incoming wave at the platoon front, the transfer function from B_1 to A_1 in (26) has to be equal to zero, where B_1 is the amplitude of the wave travelling from the rear-end vehicle and observed at the vehicle indexed as 1, while A_1 is the amplitude of the wave travelling from the leader and observed at the vehicle indexed as 1. In other words, we are searching for X_0 (commanded position for the leader) to satisfy the equation $G_1 X_0 / B_1 - G_1^2 = 0$. The only solution is

$$X_0 = G_1 B_1. \quad (29)$$

To be consistent with the model (17)-(20), we denote $B_0 = G_1 B_1$ and $A_0 = X_0 - B_0$, then (26) is expressed as $A_1 = G_1 X_0 - G_1 B_0 = G_1 A_0$. Summarizing this yields the wave components of the leader

$$B_0 = G_1 X_1 - G_1^2 A_0, \quad (30)$$

$$A_0 = X_0 - B_0. \quad (31)$$

This means that if one component of the position of the leader is equal to B_0 , then the leader absorbs the incoming wave. We can imagine that if the leader is pushed/pulled by its followers, thus it manoeuvres like one of the in-platoon vehicles.

5.1.2. Acceleration to the reference velocity

The previous algorithm actively absorbs the incoming wave to the platoon leader. To change the platoon's velocity and inter-vehicle distances are other tasks that need to be solved.

To accelerate the platoon, we need to add an external/reference input, X_{ref} , for the leader. This changes (29) to $X_0 = B_0 + X_{\text{ref}}$. The rear-end vehicle represents the free-end boundary, therefore, B_0 is expressed by the combination of (18), (19), (26) and (30) as $B_0 = G_1^{2N+1} X_{\text{ref}}$. This leads the transfer function from X_{ref} to X_0 to be

$$\frac{X_0}{X_{\text{ref}}} = 1 + G_1^{2N+1}. \quad (32)$$

Fig. 1 showed that the DC gain of G_1 is equal to one, therefore, the DC gain of $(1 + G_1^{2N+1})$ is equal to two. This means that to accelerate the platoon to reference velocity v_{ref} , the leader has to be commanded to accelerate to a velocity $v_{\text{ref}}/2$ at the beginning of the manoeuvre, as shown in Fig. 5.

Fig. 5 additionally shows an independent validation of the wave transfer function approach. The derivation of the sum of $A + B$ velocity components (red crosses) of the wave travelling through the platoon are compared against the velocities simulated by the Matlab Simulink (green plus signs). We can see an agreement between the wave-transfer-function-derived and independently-simulated velocities.

5.1.3. Changing of the inter-vehicle distances

Increasing the inter-vehicle distances poses a more difficult task than merely accelerating the platoon. The reason is that the rear-end vehicle reacts to the change of reference distance d_{ref} by acceleration/deceleration. This creates a velocity wave

propagating towards the leader who absorbs it by changing its velocity. This means, however, that when all vehicles reach the desired inter-vehicle distance d_{ref} , the whole platoon travels with a new velocity different from the original. Only by an additional action by the leader, see the next paragraph, will the original velocity be reestablished.

Although the platoon has a finite number of vehicles, it behaves like a semi-infinite platoon because no wave reflects from the platoon leader, who is equipped with the wave absorber. Since (27) holds for a semi-infinite platoon, it can be now used to determine the transfer function from D_{ref} to the velocity of the leader, $V_0(s)$, that is

$$\frac{V_0}{D_{\text{ref}}} = G_1^N \frac{s(G_1 - 1)}{\alpha - 2}. \quad (33)$$

The DC gain of (33) reads as

$$\kappa_f = \lim_{s \rightarrow 0} \left(G_1^N \frac{s(G_1 - 1)}{\alpha - 2} \right). \quad (34)$$

In the case where the reference distance is changed and the leader does not accelerate, the velocity of the platoon changes by $(\kappa_f d_{\text{ref}})$. This means that the platoon slows down or even moves backwards. To compensate for this undesirable velocity change, the leader is commanded to accelerate to the velocity $(-\kappa_f d_{\text{ref}})/2$. The platoon will consequently travel with the original velocity, hence compensating for the acceleration/deceleration of the rear-end vehicle. This leads to the DC gain of (33) for the PI controller case equal to $(-\sqrt{k_i/\xi})$.

5.1.4. Overall control of the leader

Let us now assume that the leader has a positional controller with input X_f . Summarizing preceding subsections yields the resulting control law of the leader,

$$X_f(s) = X_{\text{ref}}(s) + B_0(s), \quad (35)$$

where $B_0(s) = G_1(s)X_1(s) - (G_1(s))^2 X_{\text{ref}}(s)$ is the transfer function of the wave absorber. From the above discussion, $X_{\text{ref}}(s)$ must be represented by a ramp signal with slope w_0 ,

$$w_0 = \frac{1}{2} (v_{\text{ref}} - \kappa_f d_{\text{ref}}), \quad (36)$$

to ensure that the platoon travels with a reference velocity v_{ref} and inter-vehicle distances d_{ref} . In case of the PI controller, $w_0 = (v_{\text{ref}} + \sqrt{k_i/\xi} d_{\text{ref}})/2$. The Front-sided wave-absorbing controller is summarized in Fig. 6.

5.2. Rear-sided wave-absorbing controller

Instead of placing the wave-absorbing controller at the platoon's front, it can be placed at the platoon's rear. In this case, the platoon has one leader in the front and one wave-absorbing controller at the rear. However, the absence of the predecessor follower in the platoon has an important consequence. Any velocity change by the leader, $V_0(s)$, causes a change in the distance to the first follower, $D_1(s)$, as shown in (A.10). Consequently, all other distances between vehicles

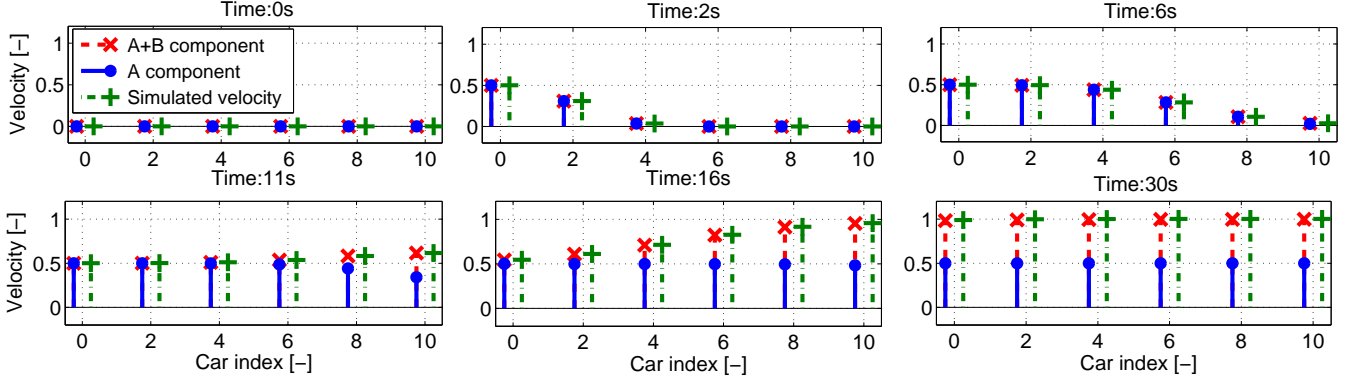


Figure 5: Simulation of the velocity wave propagating through the platoon with the Front-sided wave-absorbing controller at several time instances. At the beginning, $t = 0$ s, all platoon vehicles are standing still except for the leader which accelerates to a velocity 0.5 ms^{-1} . At intermediate times, the wave travels to the rear vehicle, where it is reflected and travels back to the leader to be completely absorbed. By propagating, it forces platoon vehicles to accelerate by another 0.5 ms^{-1} to a velocity 1 ms^{-1} . At the final stage, $t = 30$ s, the leader is the last one reaching the velocity 1 ms^{-1} and the whole platoon moves with 1 ms^{-1} . The red crosses represent the derivation of $A + B$ positional components computed by the wave transfer function approach, the green plus signs are the velocities simulated by the Matlab Simulink.

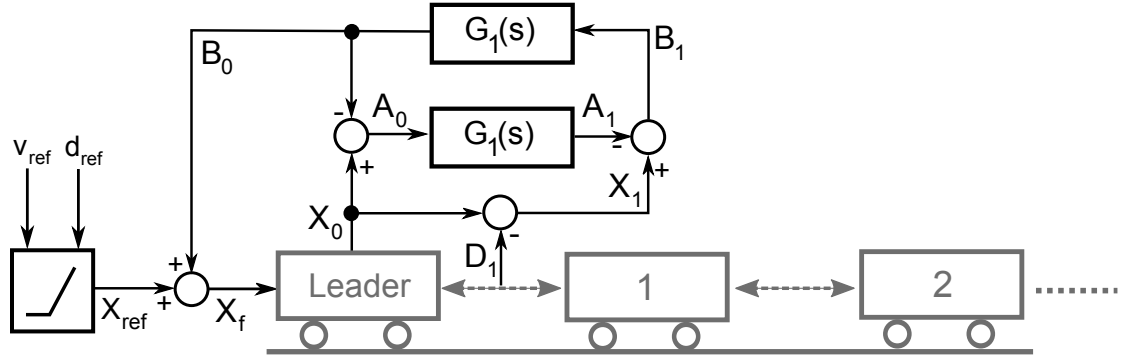


Figure 6: Scheme of the Front-sided wave-absorbing vehicular platoon controller.

are changed. This negative effect is to be compensated by an acceleration/deceleration of the rear-end vehicle. We denote κ_r to be the DC gain of the transfer function from $V_0(s)$ to $D_1(s)$.

Having specified the DC gain, a certain reference signal needs to be sent to the platoon end to set up a desired inter-vehicle distance d_{ref} . The input to the positional controller of the rear-end vehicle, $X_r(s)$, is expressed, analogous to (35), as

$$X_r(s) = X_{\text{ref,rear}}(s) + G_1(s)A_{N-1}(s), \quad (37)$$

where $G_1(s)A_{N-1}(s) = G_1(s)X_{N-1}(s) - (G_1(s))^2 X_{\text{ref,rear}}(s)$ is the transfer function of the wave absorber and $X_{\text{ref,rear}}(s)$ is a reference ramp signal with slope w_r ,

$$w_r = \frac{1}{2} (v_{\text{ref}} - \kappa_r d_{\text{ref}}). \quad (38)$$

In other words, the platoon leader drives the platoon to travel with velocity v_{ref} , while the rear-end vehicle makes the platoon travel with inter-vehicle distances d_{ref} . For the PI controller case, $\kappa_r = k_i/\xi$.

It should be stressed that, for this type of control, the last vehicle must know the reference velocity of the whole platoon. This may be undesirable, since only the leader is usually aware

of the reference velocity. It may, however, be useful in situations, for instance, when the leader is not able to measure the distance to its immediate follower, or when the leader has no access to the reference distance.

5.3. Two-sided wave-absorbing controller

The Front-sided and Rear-sided wave-absorbing controllers can be combined by implementing wave absorbers to both the platoon leader and the rear-end vehicle. In this case, no wave is reflected back from neither of the platoon ends.

The input to the positional controller of the leader is given by (35) with the ramp signal (36), while the input to the positional controller of the rear-end vehicle is (37) with the ramp signal (38). In this way, each platoon end generates a velocity wave propagating towards the opposite end. Likewise, as for the Front-sided and Rear-sided wave-absorbing controllers (Section 5.1 and 5.2), the amplitudes of the two waves are summed up to v_{ref} , meaning that the platoon travels with velocity v_{ref} and inter-vehicle distances d_{ref} .

5.4. Asymptotic and string stability

Using the same technique as in [16], it can be shown that a platoon with the symmetric bidirectional controller is asymptot-

ically stable. Since $G_1(s)$ can be represented by such a platoon, it is also asymptotically stable. The truncated approximate of $g_1(t)$ is BIBO (bounded-input bounded-output) stable, which is a well known fact about FIR filters. Therefore, a platoon with the wave-absorbing controller on one or both platoon ends remains asymptotically stable.

We follow the L_2 string stability definition from [10], which states that a system is called L_2 string stable if there is an upper bound on the L_2 -induced system norm of $T_{0,n}$ that does not depend on the number of vehicles, where $T_{0,n}$ is the transfer function from position of the leader to the position of the vehicle indexed n .

In the case of the platoon with the Front-sided wave-absorbing controller, the position of the n th vehicle is described as

$$X_n = (G_1^n + G_1^{2N+1-n})X_0. \quad (39)$$

Due to the triangle inequality and the fact that $\|G_1\|_\infty \leq 1$, which is shown in Appendix C, we obtain

$$\|G_1^n + G_1^{2N+1-n}\|_\infty \leq \|G_1^n\|_\infty + \|G_1^{2N+1-n}\|_\infty \leq 2. \quad (40)$$

This means that the magnitude of the maximum peak in the frequency response of the transfer function from the position of the leader to the position of the n th vehicle is less than or equal to 2. Since the L_2 -induced norm and H_∞ coincide, we can state that the platoon with the Front-sided wave-absorbing controller is L_2 string stable.

The position of the n th vehicle with an absorber placed at the rear-end vehicle is

$$X_n = G_1^n X_0 + (G_1^{N-n} - G_1^{N+n})X_N. \quad (41)$$

We apply the same idea and state that the H_∞ norm of both G_1^n and $(G_1^{N-n} - G_1^{N+n})$ are bounded regardless of the number of vehicles. Therefore, the platoon with the Rear-sided wave-absorbing control is L_2 string stable.

The position of the n th vehicle in a platoon with absorbers on both ends is expressed as

$$X_n = G_1^n X_0 + G_1^{N-n} X_N, \quad (42)$$

which immediately shows that the platoon with the Two-sided wave-absorbing controller is also L_2 string stable.

6. NUMERICAL SIMULATIONS

We consider the linear friction of our system to be $\xi = 4$ and search for the parameters of the PI controller such that oscillations of the impulse response of $G_1(s)$ are minimized. The parameters $k_p = k_i = 4$ satisfy this requirement. All numerical simulations are run for a platoon of 50 vehicles to demonstrate that the wave-absorbing controllers are capable of controlling large platoons.

To demonstrate the advantages of the wave-absorbing controllers, we will compare their performance against a pure bidirectional control without any wave-absorbing controller. This means that the leader travels with a constant velocity v_{ref} for the

whole time of the simulation. Fig. 7 shows the outcome of a numerical simulation when the leader without wave-absorbing controller increases its velocity. We can see significant limitations in the bidirectional control, where the oscillatory behaviour in the movement of the platoon is caused by numerous wave reflections from both platoon ends. Eventually, the platoon settles to the desired velocity after many velocity oscillations. These oscillations not only significantly prolong the settling time, but they could lead to accidents within the platoon.

The performance of the Front-sided wave-absorbing controller during two platoon manoeuvres is shown in Fig. 8. In the first 150 s manoeuvre, the platoon accelerates to reach a desired velocity. In comparison with the pure bidirectional control, see Fig. 7, the settling time is now significantly shorter. Moreover, under some circumstances, it can be guaranteed that vehicles do not crash into each other during the platoon acceleration. In fact, the distances between vehicles are increased at the beginning of the acceleration as suggested by (A.10) and shown in the middle panel of the Fig. 8. However, the distances may undershoot the initial inter-vehicles distances in the second part of the acceleration manoeuvre. If the impulse response of the wave transfer function is tuned such that it does not undershoot the zero value, then the distances between vehicles can not become less than the initial inter-vehicle distances. In the opposite case (not shown here), where the platoon travels with a constant velocity and starts to decelerate, the distances between vehicles are temporarily decreased and a collision may occur.

At time $t = 150$ s in Fig. 8, the platoon is commanded to perform the second manoeuvre such that the reference distance is increased, but the reference velocity is kept unchanged. The rear-end vehicle reacts to this command at the same time as the leader since it is controlled by the reference distance that is now changing. However, the end vehicles differ in action; the leader accelerates, while the rear-end vehicle decelerates. This behaviour creates an undesirable overshoot in distances.

A numerical simulation of the two manoeuvres for the platoon controlled by the Rear-sided wave-absorbing controller is shown in Fig. 9. During the acceleration manoeuvre the inter-vehicle distances between vehicles closer to the rear end are temporarily decreased while those for vehicles near the leader are temporarily increased. During the changing-distance manoeuvre, on the other hand, no overshoot in distances occurs.

In Fig. 10, the acceleration and changing-distance manoeuvres carried out for the one-sided wave-absorbing controllers are now performed for the two-sided wave-absorbing controller. Since both platoon ends are fully controlled, the settling time is only half of that for the one-sided wave-absorbing controllers. The middle panel in Fig. 10 shows that there is no overshoot in distances during the second manoeuvre. On the other hand, there is no guarantee that the vehicles will not collide during the acceleration manoeuvre.

6.1. Asymmetric bidirectional controller

The so-called asymmetric bidirectional controller, introduced in [4], is another approach to improve the performance of the bidirectional controller. The idea is to implement two

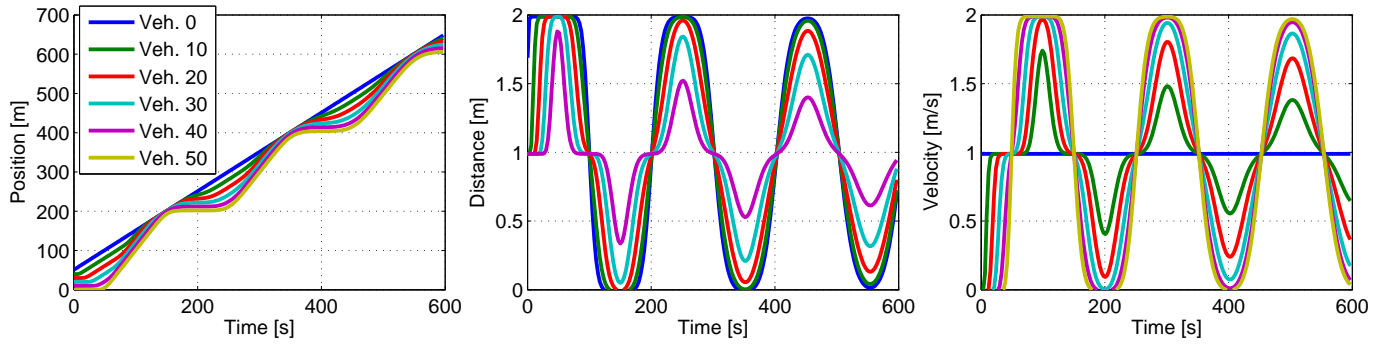


Figure 7: Simulation of the platoon without the wave-absorbing controller when the leader accelerates to a velocity $v_{\text{ref}} = 1 \text{ ms}^{-1}$. The reference distance is kept fixed, $d_{\text{ref}} = 1 \text{ m}$, for the whole time.

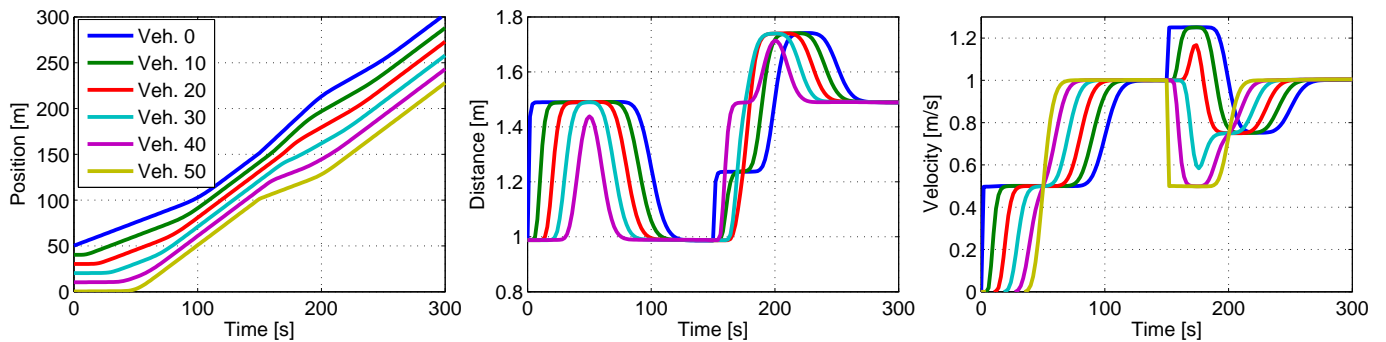


Figure 8: Simulation of two platoon manoeuvres with the Front-sided wave-absorbing controller. At the beginning, the vehicles are standing still separated by one meter. For the first manoeuvre, the platoon is commanded to accelerate to $v_{\text{ref}} = 1 \text{ ms}^{-1}$ with $d_{\text{ref}} = 1 \text{ m}$ starting at time $t = 0 \text{ s}$. At time $t = 150 \text{ s}$, the platoon is commanded to perform the second manoeuvre such that the reference distance is increased to $d_{\text{ref}} = 1.5 \text{ m}$ without changing the reference velocity.

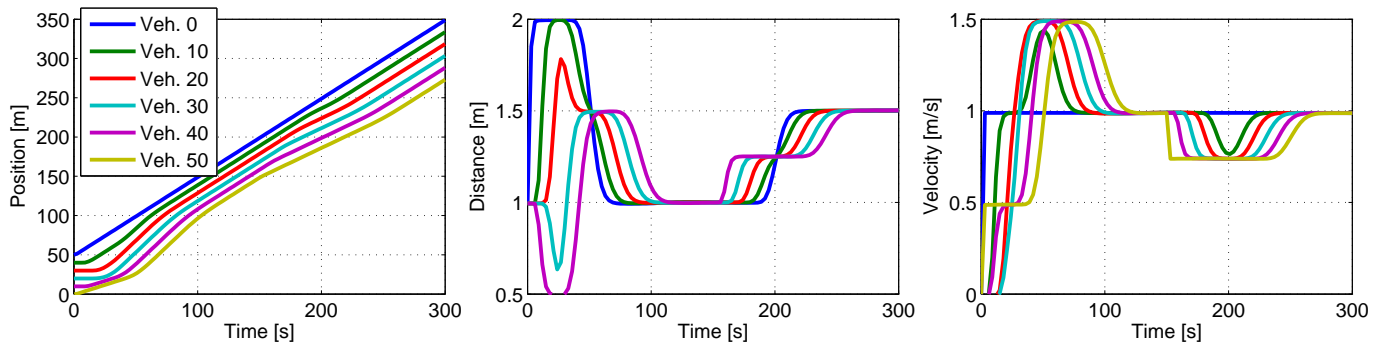


Figure 9: As in Fig. 8 but with the Rear-sided wave-absorbing controller.

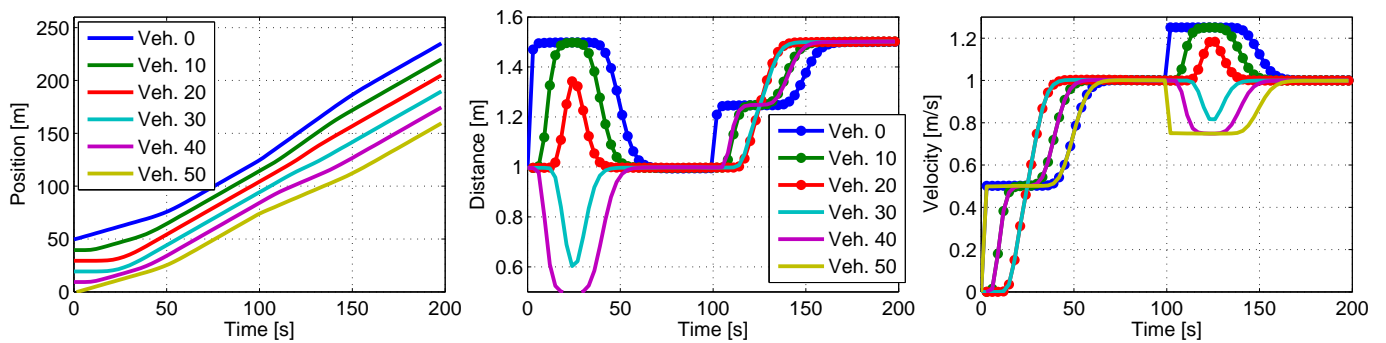


Figure 10: As in Fig. 8 but with the Two-sided wave-absorbing controller. The second command to increase d_{ref} comes at $t = 100 \text{ s}$.

local controllers with different parameters for each vehicle, the 'front' controller and the 'rear' controller. The 'front' controller keeps the reference distance to the predecessor, while the 'rear' controller keeps the reference distance to the follower. In our numerical simulations, we choose the same parameters for the 'front' PI controller $k_p^f = k_1^f = 4$, but different parameters for the 'rear' PI controller $k_p^r = k_1^r = 3.6$. The parameter of the linear friction remains the same, i.e. $\xi = 4$.

Numerical simulations of the asymmetric bidirectional controller are shown in Fig. 11. The settling time is shorter than for the symmetric bidirectional controller (in agreement with [4]) but at the cost of higher overshoots, which corresponds to the fact that H_∞ norm grows exponentially with the number of vehicles in the platoon, [17]. Fig. 11 also reveals that the performance of the asymmetric bidirectional controller is worse, in terms of the settling time and the overshoots, than the performance of a platoon with a wave absorber.

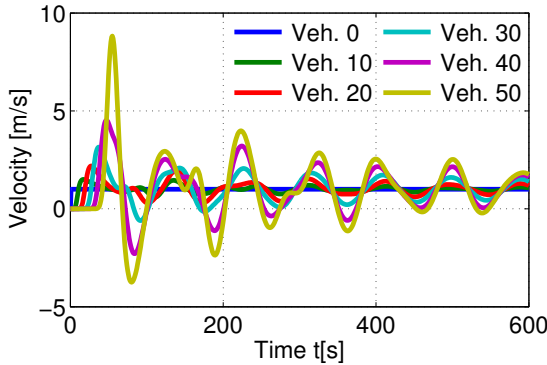


Figure 11: Numerical simulation of the platoon with the asymmetric bidirectional controller accelerating to $v_{\text{ref}} = 1 \text{ ms}^{-1}$.

6.2. Evaluation of the performance

We now evaluate the performance of the acceleration manoeuvre described in the previous section with the help of the mean squared error (MSE) criterion,

$$\text{MSE} = \frac{1}{N+1} \sum_{n=0}^N \frac{1}{T} \sum_{t=0}^T (v_{\text{ref}}(t) - v_n(t))^2, \quad (43)$$

where T is the simulation time (in our case $T = 500 \text{ s}$), $v_{\text{ref}}(t)$ is the reference velocity of the platoon at time t and $v_n(t)$ is the actual velocity of the n th vehicle at time t .

The comparison in performance of the five controllers for various platoon lengths is depicted in Fig. 12. We can see that the MSE increases linearly for all wave-absorbing controllers, but quadratically for the pure symmetric bidirectional control and exponentially for the asymmetric bidirectional control. Moreover, a linear increase in MSE for the Two-sided controller is only about half of that for the Front-sided controller. The linear increase of MSE for the Rear-sided controller lies between these two cases. Evidently, the wave-absorbing controller qualitatively improves the performance of the bidirectional control.

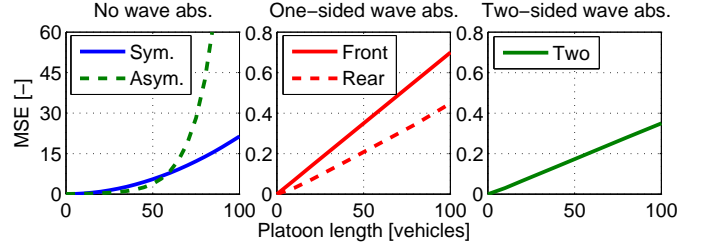


Figure 12: MSE performance evaluation of the acceleration manoeuvre from Figs. 7, 8 and 10. The five controllers are evaluated; pure symmetric bidirectional and asymmetric bidirectional (left panel), Front-sided wave-absorbing controller (solid line in the middle panel), Rear-sided wave-absorbing controller (dashed line in the middle panel) and Two-sided wave-absorbing controller (right panel) for various platoon lengths according to (43).

The settling time of the acceleration manoeuvre arising from the all five types of controllers are compared in Fig. 13. We can see that the settling time increases quadratically with platoon length for a platoon with pure symmetric bidirectional controller with no wave absorber, as was shown in [15], but approximately linearly for a platoon with wave-absorbing controllers. The qualitative improvement of the settling time is caused by the fact that the wave absorber changes the structure of a platoon to a multiple identical system connected in series, for instance, the transfer function of the Two-sided wave-absorbing architecture is G_1^N . In fact, the settling time of such a system grows nearly linearly, as analytically outlined in Appendix D.

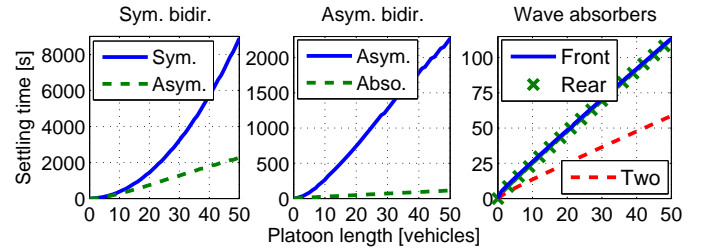


Figure 13: The time required for platoons of various lengths to accelerate and stay within a range of 5% of v_{ref} . The left panel shows comparison of the asymmetric bidirectional architecture with the symmetric bidirectional controller with no wave absorber. The middle panel compares the asymmetric bidirectional architecture with the Front-sided wave absorber. The settling time of all the wave-absorbing architectures is compared in the right panel.

6.3. Effect of noise in the platoon

This subsection examines the performance of the five controllers when noise is present in the system. The reference commands for a platoon of 20 vehicles are $v_{\text{ref}} = 0 \text{ ms}^{-1}$ and $d_{\text{ref}} = 0 \text{ m}$, that is, the platoon is commanded not to move. Normally distributed noise is simulated for 2000 seconds and added to distance measurements of each vehicle, except for the leader. Different realizations of normally distributed noise with the mean value $\mu = 0$ and variance $\sigma^2 = 1$ are applied to each vehicle.

Table 1 assesses quantitatively the effect of noise on the performance of the five controllers. The mean squared error of positions, MSE_{pos} , and the arithmetic mean of positions,

Mean_{pos} , show that the platoon without any absorber and with the Rear-sided wave-absorbing controller perform significantly better than with the other two controllers. This is due to the fact that at least one of the platoon ends is anchored at position 0, meaning that the platoon does not drift away from position 0, which is not the case for the Front-sided and Two-sided wave-absorbing controllers. Despite the disturbances by noise, all wave-absorbing controllers are better at maintaining the coherence of the platoon than the pure bidirectional controller, as indicated by the mean squared error of inter-vehicle distances, MSE_{dist} , and the maximum distance between the leader and the rear end, MAX_{dist} .

Table 1: Performance of the five controllers when considering normally distributed noise affecting distance measurement of vehicles. Four criterions used for evaluation are introduced in the text.

	MSE_{pos}	Mean_{pos}	MSE_{dist}	MAX_{dist}
Sym. (no abs.)	2.7×10^7	2×10^{-3}	1.9×10^5	5.75
Asym. (no abs.)	1.1×10^7	22×10^{-3}	1.7×10^5	5.6
Front-sided wave abs.	8.4×10^7	-3.9	2.4×10^4	1.37
Rear-sided wave abs.	7.1×10^5	3.2×10^{-3}	2.5×10^4	1.15
Two-sided wave abs.	1.3×10^8	-3.1	1.8×10^4	0.64

6.4. Oscillatory bidirectional controller

It should be pointed out that the wave-absorbing controller is conceptualized as an extension of the symmetric bidirectional controller. It is not capable of attenuating the wave travelling inside the platoon, but only at the platoon ends. If the bidirectional control scheme is not properly designed, then the wave may be amplified before it reaches one of the ends. In such a case, the bidirectional controller needs to be redesigned to resolve the amplification problem. A rule of thumb is to design a bidirectional controller such that the impulse response of $G_1(s)$ does not undershoot the zero value.

Numerical simulations of a poorly designed bidirectional controller are shown in Fig. 14, where the PI coefficients are the same as in the previous section, $k_p = 4$, $k_i = 4$, but the linear friction is significantly smaller, $\xi = 1.03$ (compared with $\xi = 4$ previously). We can see that the behaviour of the whole platoon is oscillatory and that the wave is amplified as it travels inside the platoon. When the wave reaches the rear-end vehicle, it is absorbed with the Rear-sided wave-absorbing controller.

7. CONCLUSIONS

This paper introduces novel concepts for the control of a vehicular platoon, which significantly improve the popular bidirectional control. The main idea is to control the front or both ends of a platoon to actively damp the waves of positional changes arriving from the opposite platoon end. The absorbing-end vehicle is assumed to i) measure the distance to its neighbour, ii) know its own position and iii) represent the dynamics of a vehicle in terms of the wave transfer function.

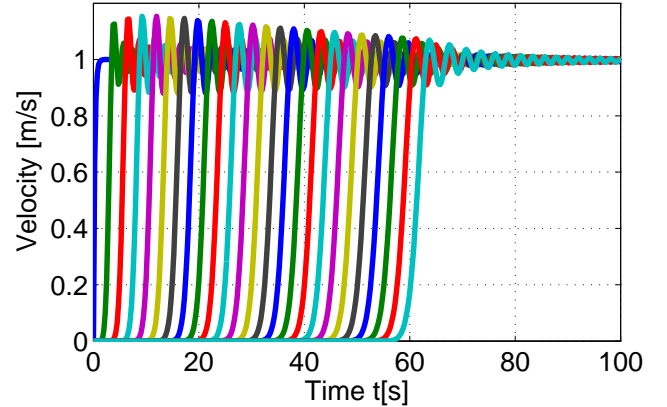


Figure 14: Numerical simulation of the platoon accelerating to $v_{\text{ref}} = 1 \text{ ms}^{-1}$ with a poorly designed bidirectional controller. The vehicles are indexed from left to right by 0, 5, 10, 15, ..., 120. The rear-end vehicle is equipped with the wave-absorbing controller.

The new schemes allow us to control the platoon velocity and the inter-vehicle distances without long-lasting transient and oscillatory behaviour. The velocity errors during the platoon manoeuvres with the traditional bidirectional control grows quadratically with the number of vehicles in the platoon, while errors grow only linearly for the bidirectional control enhanced with the wave-absorbing controller. Moreover, the platoon with the wave-absorbing controller is string stable.

Additionally, the wave-absorbing controller preserves the advantages of bidirectional control such as: i) The lack of a need for vehicle-to-vehicle communication, ii) none of the vehicles need to know the number of vehicles in the platoon, iii) an in-platoon vehicle does not need to know its index relative position in the platoon, and iv) an in-platoon vehicle does not need to know the reference velocity and the reference distance for the platoon.

However, a considerable mathematical difficulty in the wave-absorbing control lies in finding the impulse response of the wave transfer function. In this paper, we proposed the iterative approach of constructing an approximation of the wave transfer function that is based on a continued fraction representation. Even for a small number of iterative steps, when the wave transfer function is rather roughly approximated, the wave-absorbing control still performs efficiently to dampen oscillations in the platoon's characteristics (i.e., velocity, inter-vehicle distances).

It should be noted that the absorbing-end vehicle is assumed to be equipped with the positional controller since the differences in positions between vehicles are controlled. Alternatively, when the absorbing-end vehicle is equipped with a velocity controller, the commanded position of the vehicle derived using (35) or (37) can be numerically differentiated to obtain the velocity commanded to the absorbing-end vehicle.

Undesirable overshoots in the velocities or inter-vehicle distances of the wave-absorbing control can be eliminated by introducing time delays in the reference signal applied to one of the platoon ends. An appropriate value of this time delay is

dependent upon the platoon length and thus requires the extension of the wave-absorbing control. This topic warrants further investigation.

This paper extends [24] in the following way: i) It presents the mathematical derivation of the approximating formula for the wave transfer function and derivation of the transfer functions describing wave reflection on platoon ends, ii) it generalizes the results from the double integrator model with linear friction and PI controller for an arbitrary local system dynamics, iii) it introduces two additional modifications of the wave-absorbing controller for the vehicular platoon, iv) it analyzes asymptotic and string stability of a platoon with the wave-absorbing controller and v) it more thoroughly evaluates the performance of the wave-absorbing controller.

8. ACKNOWLEDGEMENTS

This work was supported by Grant Agency of the Czech Republic within the project GACR P103-12-1794.

The authors thank Kevin Fleming for his comments on the manuscript.

Appendix A. Reflection on a forced-end boundary

In this appendix, we derive the formula describing the reflection of a wave on a forced-end boundary that is defined in Section 4.1.

We first combine (17)-(19) to obtain

$$X_{n+1} = G_1 A_n + G_2 B_n, \quad (\text{A.1})$$

$$X_{n-1} = G_2 A_n + G_1 B_n. \quad (\text{A.2})$$

Equation (5), specified for the first vehicle behind the platoon leader, is therefore

$$\alpha X_1 = X_0 + X_2. \quad (\text{A.3})$$

Substituting (17) for X_1 and (A.1) for X_2 yields

$$\alpha(A_1 + B_1) = X_0 + G_1 A_1 + G_2 B_1, \quad (\text{A.4})$$

which can be reformulated as

$$A_1 = \frac{1}{\alpha - G_1} X_0 + \frac{G_2 - \alpha}{\alpha - G_1} B_1. \quad (\text{A.5})$$

The term in front of B_1 can be arranged as

$$\frac{G_2 - \alpha}{\alpha - G_1} = \frac{-\frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}}{\frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}} = -\frac{G_1}{G_2} = -G_1^2, \quad (\text{A.6})$$

where the principle of reciprocity from (20) has been applied. Similarly, the term in front of X_0 is expressed as

$$\frac{1}{\alpha - G_1} = \frac{1}{\frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}} = \frac{1}{G_2} = G_1. \quad (\text{A.7})$$

Finally, we have

$$A_1 = G_1 X_0 - G_1^2 B_1. \quad (\text{A.8})$$

The wave-based platoon control in Section 5.3 requires one to specify the way how the velocity of the leader $V_0(s)$ influences the distance to the first follower $D_1(s)$, $D_1(s) = X_0(s) - X_1(s)$. Assuming a semi-infinite platoon, equation (9) gives $X_1(s) = G_1(s)X_0(s)$. Hence,

$$D_1(s) = X_0(s) - G_1(s)X_0(s) = \frac{1}{s}(1 - G_1(s))V_0(s), \quad (\text{A.9})$$

In other words, the transfer function from velocity $V_0(s)$ to distance $D_1(s)$ is

$$\frac{D_1(s)}{V_0(s)} = \frac{1}{s}(1 - G_1(s)). \quad (\text{A.10})$$

Appendix B. Reflection on a free-end boundary

In this appendix, we derive the formula describing the reflection of a wave on a free-end boundary that is defined in Section 4.2.

Substituting (17) and (A.2) into (6) yields

$$(A_N + B_N)(\alpha - 1) = G_2 A_N + G_1 B_N - D_{\text{ref}}, \quad (\text{B.1})$$

which, after rearranging, gives

$$B_N = \frac{G_2 - \alpha + 1}{\alpha - 1 - G_1} A_N - \frac{1}{\alpha - 1 - G_1} D_{\text{ref}}, \quad (\text{B.2})$$

where

$$\begin{aligned} \frac{G_2 - \alpha + 1}{\alpha - 1 - G_1} &= \frac{1 - \frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}}{-1 + \frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}} = \\ \frac{\alpha - \frac{\alpha^2}{2} - \sqrt{\alpha^2 - 4} + \frac{\alpha}{2}\sqrt{\alpha^2 - 4}}{2 - \alpha} &= \frac{2 - \alpha}{2 - \alpha} G_1 = G_1. \end{aligned} \quad (\text{B.3})$$

Similarly,

$$\begin{aligned} \frac{1}{\alpha - 1 - G_1} &= G_1 \frac{1}{G_2 - \alpha + 1} = \\ \left(\frac{\alpha}{2} - \frac{1}{2}\sqrt{\alpha^2 - 4}\right) \frac{1 - \frac{\alpha}{2} + \frac{1}{2}\sqrt{\alpha^2 - 4}}{\left(1 - \frac{\alpha}{2}\right)^2 - \frac{1}{4}(\alpha^2 - 4)} &= \frac{G_1 - 1}{2 - \alpha}. \end{aligned} \quad (\text{B.4})$$

Hence, (B.2) is

$$B_N = G_1 A_N + \frac{G_1 - 1}{\alpha - 2} D_{\text{ref}}. \quad (\text{B.5})$$

Appendix C. PROOF OF $\|G_1(s)\|_\infty \leq 1$

We will show that $\|G_2(s)\|_\infty \geq 1$. Then (20) implies that $\|G_1(s)\|_\infty \leq 1$. To inspect the amplification and phase shift on frequency ω , we substitute $j\omega$ for s in the definition of $G_2(s)$ in (16), where j is the imaginary unit, and obtain the complex number z_2 in the polar form,

$$z_2 = r_2 \exp(j\varphi_2). \quad (\text{C.1})$$

Similarly as in (16), we can separate z_2 into two parts,

$$z_2 = \frac{1}{2}z + \frac{1}{2}\sqrt{z_s}, \quad (\text{C.2})$$

where

$$\begin{aligned} z &= \alpha(j\omega) = r \exp(j\varphi), \\ z_s &= z^2 - 4 = r_s \exp(j\varphi_s). \end{aligned} \quad (\text{C.3})$$

The magnitude r_s is given by

$$r_s = (r^2 \cos(2\varphi) - 4)^2 + (r^2 \sin(2\varphi))^2 = r^4 + 8r^2 + 16 - 16r^2 \cos^2 \varphi. \quad (\text{C.4})$$

with magnitude r_2 then expressed as

$$\begin{aligned} r_2 &= \left[\frac{1}{4} \left(r^2 + r_s + 2r \sqrt{r_s} \left(\cos \frac{\varphi_s}{2} \cos \varphi + \sin \frac{\varphi_s}{2} \sin \varphi \right) \right) \right]^{\frac{1}{2}} \\ &= \left[\frac{1}{4} \left(r^2 + r_s + 2r \sqrt{r_s} \cos \left(\varphi - \frac{\varphi_s}{2} \right) \right) \right]^{\frac{1}{2}}. \end{aligned} \quad (\text{C.5})$$

The minimum of r_s over all possible phases is for $\varphi = k\pi$, $k \in \mathbb{Z}$, and is equal to

$$\min(r_s) = \sqrt{r^4 - 8r^2 + 16} = |r^2 - 4| = \begin{cases} 4 - r^2 & \text{if } 0 \leq r \leq 2 \\ r^2 - 4 & \text{if } r > 2 \end{cases} \quad (\text{C.6})$$

Therefore,

$$\frac{1}{4}(r^2 + r_s) \geq 1. \quad (\text{C.7})$$

In the next step, we will show that $|\varphi - \varphi_s/2| \leq \pi/2$, which means that $\cos(\varphi - \varphi_s/2)$ is nonnegative. It is a known fact that the sum of two complex numbers with phases δ_1 and δ_2 , where $\delta_1 \leq \delta_2$ and $\delta_1, \delta_2 \in [-\pi, \pi)$, yields a complex number with the phase $\delta \in [-\pi, \pi)$, that is

$$\delta \in [\delta_1, \delta_2] \text{ if } |\delta_1 - \delta_2| < \pi, \quad (\text{C.8})$$

$$\delta \in [\delta_2, \delta_1] \text{ if } |\delta_1 - \delta_2| > \pi, \quad (\text{C.9})$$

$$\delta = \delta_1 \text{ or } \delta = \delta_2 \text{ if } |\delta_1 - \delta_2| = \pi. \quad (\text{C.10})$$

This implies that

$$|\delta - \delta_2| \leq \pi \wedge |\delta_1 - \delta| \leq \pi. \quad (\text{C.11})$$

The phase φ_s calculated from (C.3) is $\varphi_s = 2\varphi - \theta$, where $|\theta| \leq \pi$ according to (C.11). Then,

$$\left| \varphi - \frac{\varphi_s}{2} \right| = \left| \varphi - \varphi + \frac{\theta}{2} \right| = \frac{1}{2}|\theta| \leq \frac{1}{2}\pi. \quad (\text{C.12})$$

Therefore,

$$\cos\left(\varphi - \frac{\varphi_s}{2}\right) \geq 0 \quad (\text{C.13})$$

and (C.5) gives,

$$r_2 \geq 1. \quad (\text{C.14})$$

This means that the amplification of $G_2(s)$ for all frequencies is greater or equal to one. Since $G_1(s) = G_2(s)^{-1}$ (20), it means that the amplification of $G_1(s)$ on all frequencies is less than or equal to one, hence $\|G_1(s)\|_\infty \leq 1$.

Appendix D. THE SETTLING TIME OF IDENTICAL SYSTEMS CONNECTED IN A SERIES

We aim to show that the settling time of the identical systems connected in a series grows nearly linearly with the number of vehicles. The key idea is to find an appropriate first-order system with an envelope that contains the impulse response of the given individual system, therefore, it gives an upper-limit estimate of the settling time. It is important to stress that this 'proof' considers the settling time of the impulse response.

Let us assume an asymptotically stable linear system $T(s)$ of an arbitrary order and first-order system $T_e(s) = \lambda/(s + \epsilon)$. We denote the settling times of $(T(s))^K$ and $(T_e(s))^K$ as τ_K and $\tau_{e,K}$, respectively. The parameters λ and ϵ are chosen such that: a) $\tau_{e,1} > \tau_1$ and b) the impulse response of $T(s)$ is bounded by the envelope of the impulse response of $T_e(s)$, which implies that $\tau_{e,K} > \tau_K$ for $K \in \mathbb{N}$. This means that $\tau_{e,K}$ gives an upper limit to the settling time τ_K . We define $\tau_{e,1}$ as the time elapsed until the impulse response of $T_e(s)$ enters (and does not leave it afterwards) a deviation band $\pm\eta$. In other words, $\tau_{e,1}$ is a solution of the equation

$$\lambda \exp(-\epsilon\tau_{e,1}) = \eta, \quad (\text{D.1})$$

that is

$$\tau_{e,1} = -\frac{1}{\epsilon} \ln\left(\frac{\eta}{\lambda}\right). \quad (\text{D.2})$$

Inverse Laplace transform of $(T_e(s))^K$ is

$$g_K(t) = \lambda^K \frac{1}{(K-1)!} t^{K-1} \exp(-\epsilon t). \quad (\text{D.3})$$

Therefore, the settling time $\tau_{e,K}$ is a solution of the equation

$$\lambda^K \frac{1}{(K-1)!} \tau_{e,K}^{K-1} \exp(-\epsilon\tau_{e,K}) = \eta. \quad (\text{D.4})$$

It may happen that there are more than two solutions of (D.4). In that case, we take the largest real solution. In this regard, the settling time $\tau_{e,K}$ is expressed as

$$\begin{aligned} \tau_{e,K} &= -(K-1) \frac{1}{\epsilon} W_{-1} \left(-(K-1)^{-1} \epsilon \lambda^{-N/(N-1)} \kappa^{-1} \sqrt{\eta(K-1)!} \right), \\ &K > 1, \end{aligned} \quad (\text{D.5})$$

where W_{-1} is the lower branch of the Lambert-W function, see [7]. Finally, substituting (D.1) into (D.5) gives

$$\begin{aligned} \tau_{e,K} &= -(K-1) \frac{1}{\epsilon} W_{-1} \left(-(K-1)^{-1} \epsilon \lambda^{-1} \exp\left(\frac{-\epsilon\tau_{e,1}}{(K-1)}\right) \right. \\ &\quad \left. \kappa^{-1} \sqrt{\eta(K-1)!} \right), \quad K > 1. \end{aligned} \quad (\text{D.6})$$

The settling time described in (D.6) grows nearly linearly with the increasing number of vehicles as shown in Fig. D.15.

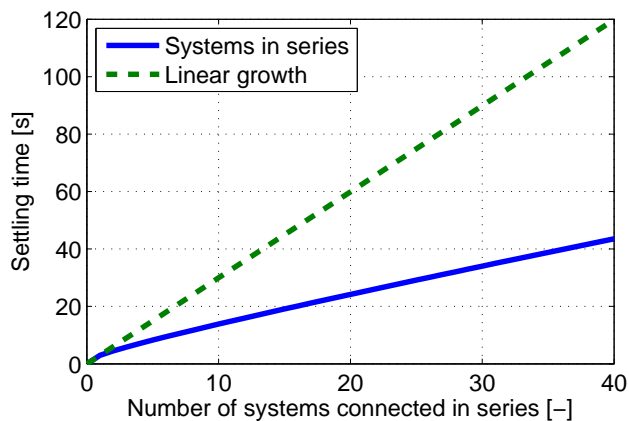


Figure D.15: The settling time of the identical systems connected in a series evaluated according to (D.2) and (D.5) compared with a linear growth of the settling time. Parameters of the system are: $\epsilon = 1$, $\lambda = 1$ and $\eta = 0.05$.

References

- [1] N. H. Asmar, *Partial Differential Equations with Fourier Series and Boundary Value Problems*, 2nd ed., Pearson Prentice Hall, New Jersey, 2004.
- [2] B. Bamieh, M. R. Jovanovic, P. Mitra, S. Patterson, Coherence in Large-Scale Networks: Dimension-Dependent Limitations of Local Feedback, *IEEE Transactions on Automatic Control* 57 (9) (2012) 2235–2249.
- [3] P. Barooah, J. Hespanha, Error amplification and disturbance propagation in vehicle strings with decentralized linear control, in: 44th IEEE Conference on Decision and Control, No. theorem 3, 2005, pp. 4964–4969.
- [4] P. Barooah, P. Mehta, J. Hespanha, Mistuning-Based Control Design to Improve Closed-Loop Stability Margin of Vehicular Platoons, *IEEE Transactions on Automatic Control* 54 (9) (2009) 2100–2113.
- [5] L. Brancik, Programs for fast numerical inversion of Laplace transforms in MATLAB language environment, *Conference MATLAB* (1999) 27–39.
- [6] K.-c. Chu, Decentralized control of high-speed vehicular strings, *Transportation Science* 8 (4) (1974) 361–384.
- [7] R. M. Corless, G. H. Gonnet, D. E. G. Hare, D. J. Jeffrey, D. E. Knuth, On the Lambert W Function, in: *Advances in Computational Mathematics*, 1996, pp. 329–359.
- [8] R. Cosgriff, The asymptotic approach to traffic dynamics, *IEEE Transactions on Systems Science and Cybernetics* (4) (1969) 361–368.
- [9] F. R. de Hoog, J. H. Knight, A. N. Stokes, An Improved Method for Numerical Inversion of Laplace Transforms, *SIAM Journal on Numerical and Statistical Computing* 3 (3) (1982) 357–366.
- [10] J. Eyre, D. Yanakiev, I. Kanellakopoulos, A Simplified Framework for String Stability Analysis of Automated Vehicles*, *Vehicle System Dynamics*.
- [11] A. H. Flotow, Disturbance propagation in structural networks, *Journal of Sound and Vibration* 106 (3) (1986) 433–450.
- [12] A. H. Flotow, Traveling wave control for large spacecraft structures, *Journal of Guidance Control and Dynamics* 9 (4) (1986) 462–468.
- [13] A. P. French, *Vibration's and Waves*, M.I.T. introductory physics series, CBS Publishers & Distributors, New Delhi, 2003.
- [14] Y. Halevi, Control of Flexible Structures Governed by the Wave Equation Using Infinite Dimensional Transfer Functions, *Journal of Dynamic Systems, Measurement, and Control* 127 (4) (2005) 579.
- [15] H. Hao, P. Barooah, Stability and robustness of large platoons of vehicles with double-integrator models and nearest neighbor interaction, *International Journal of Robust and Nonlinear Control* 23 (18) (2012) 2097–2122.
- [16] I. Herman, D. Martinec, Z. Hurák, M. Šebek, PDdE-based analysis of vehicular platoons with spatio-temporal decoupling, in: *Proceedings of 4th IFAC Workshop on Distributed Estimation and Control in Networked Systems (NecSys)*, Koblenz, Germany, 2013, pp. 144–151.
- [17] I. Herman, D. Martinec, Z. Hurák, M. Šebek, Harmonic instability of

- asymmetric bidirectional control of a vehicular platoon, in: *Control Conference (ACC)*, 2014 American, 2014, pp. 5396–5401.
- [18] W. B. Jones, W. J. Thron, *Continued Fractions: Analytic Theory and Applications*, Encyclopedia of Mathematics and its Applications, Cambridge University Press, New York, 1984.
- [19] M. Jovanovic, B. Bamieh, On the ill-posedness of certain vehicular platoon control problems, *IEEE Transactions on Automatic Control* 50 (9) (2005) 1307–1321.
- [20] B. Lesieutre, Impedance matching controllers to extinguish electromechanical waves in power networks, *IEEE International Conference on Control Applications* (2002) 25–30.
- [21] I. Lestas, G. Vinnicombe, Scalability in heterogeneous vehicle platoons, *2007 American Control Conference (M)* (2007) 4678–4683.
- [22] W. Levine, M. Athans, On the optimal error regulation of a string of moving vehicles, *IEEE Transactions on Automatic Control* 11 (3) (1966) 355–361.
- [23] F. Lin, M. Fardad, M. R. Jovanovic, Optimal Control of Vehicular Formations With Nearest Neighbor Interactions, *IEEE Transactions on Automatic Control* 57 (9) (2012) 2203–2218.
- [24] D. Martinec, I. Herman, Z. Hurák, M. Šebek, Augmentation of a bidirectional platooning controller by wave absorption at the leader, in: *Control Conference (ECC)*, 2014 European, 2014, pp. 2845–2850.
- [25] D. Martinec, M. Sebek, Z. Hurak, Vehicular platooning experiments with racing slot cars, *2012 IEEE International Conference on Control Applications* (2012) 166–171.
- [26] S. Melzer, B. Kuo, A closed-form solution for the optimal error regulation of a string of moving vehicles, *IEEE Transactions on Automatic Control* 16 (1) (1971) 50–52.
- [27] R. H. Middleton, J. H. Braslavsky, String Instability in Classes of Linear Time Invariant Formation Control With Limited Communication Range, *IEEE Transactions on Automatic Control* 55 (7) (2010) 1519–1530.
- [28] K. Nagase, H. Ojima, Y. Hayakawa, Wave-based Analysis and Wave Control of Ladder Networks, *44th IEEE Conference on Decision and Control* (2005) 5298–5303.
- [29] W. J. O'Connor, Wave-echo control of lumped flexible systems, *Journal of Sound and Vibration* 298 (4-5) (2006) 1001–1018.
- [30] W. J. O'Connor, Wave-Based Analysis and Control of Lump-Modeled Flexible Robots, *IEEE Transactions on Robotics* 23 (2) (2007) 342–352.
- [31] H. Ojima, K. Nagase, Y. Hayakawa, Wave-based analysis and wave control of damped mass-spring systems, *40th IEEE Conference on Decision and Control* 8 (December) (2001) 2574–2579.
- [32] I. Peled, W. O'Connor, Y. Halevi, On the relationship between wave based control, absolute vibration suppression and input shaping, *Mechanical Systems and Signal Processing* (2012) 1–11.
- [33] P. Seiler, A. Pant, K. Hedrick, Disturbance Propagation in Vehicle Strings, *IEEE Transactions on Automatic Control* 49 (10) (2004) 1835–1841.
- [34] E. Shaw, J. K. Hedrick, Controller design for string stable heterogeneous vehicle strings, in: *46th IEEE Conference on Decision and Control*, IEEE, 2007, pp. 2868–2875.
- [35] D. Swaroop, J. Hedrick, String stability of interconnected systems, *IEEE Transactions on Automatic Control* 41 (3) (1996) 349–357.
- [36] D. R. Vaughan, Application of Distributed Parameter Concepts to Dynamic Analysis and Control of Bending Vibrations, *Journal of Basic Engineering* 90 (2) (1968) 157–166.
- [37] W. T. Weeks, Numerical Inversion of Laplace Transforms Using Laguerre Functions, *Journal of the ACM* 13 (3) (1966) 419–429.